
Federal Communications Commission

**Before the
Federal Communications Commission
Washington, D.C. 20554**

In the Matter of)	
)	File No. EB-02-NY-198
)	
Blue Ridge Erectors, Inc.)	NAL/Acct. No. 200332380007
Bangor, PA)	
)	FRN: 0007-7917-42

NOTICE OF APPARENT LIABILITY FOR FORFEITURE

Released: December 27, 2002

By the District Director, New York Office, Enforcement Bureau:

I. INTRODUCTION

1. In this Notice of Apparent Liability for Forfeiture ("NAL"), we find that Blue Ridge Erectors, Inc. ("Blue Ridge") has apparently violated Section 301 of the Communications Act of 1934 ("Act"),¹ as amended, by operating radio transmitting equipment on 467.250 MHz. We conclude that Blue Ridge Erectors, Inc. is apparently liable for forfeiture in the amount of five thousand dollars (\$5,000).

II. BACKGROUND

2. On August 27, 2002, the FCC New York Office received a complaint of interference affecting the frequency 467.250 MHz, from an FCC licensed user.

3. On August 28, 2002, Commission agents, using direction-finding techniques, positively located the source of the interference to Blue Ridge, operating at a waterside construction site near Hudson Street in Jersey City, NJ 07302. The agents determined that Blue Ridge operated portable radio transmission equipment on 467.250 MHz. There was no evidence of a Commission authorization for this operation in Jersey City, NJ.

4. On September 4, 2002, the New York Office sent a Warning Letter for unlicensed operation, by First Class and Certified Mail Return Receipt Requested, to Blue Ridge.

5. On September 18, 2002, the New York Office received a letter from Blue Ridge, in response to the Warning Letter dated September 4. In the letter, Blue Ridge acknowledged that they had no FCC license for their radios used at the job site near Hudson St. in Jersey City, NJ.

¹ 47 U.S.C. § 301.

III. DISCUSSION

6. Section 301 of the Act sets forth generally that no person shall use or operate any apparatus for the transmission of energy or communications or signals by radio within the United States except under and in accordance with the Act and with a license granted under the provisions of the Act. A review of Commission's records showed that there was no evidence of a Commission authorization to operate this station on the frequency 467.250 MHz in Jersey City, NJ.

7. Based on the evidence before us, we find that Blue Ridge operated radio transmission equipment on 467.250 MHz on August 28, 2002 without a Commission authorization in willful² violation of Section 301 of the Act.

8. *The Commission's Forfeiture Policy Statement and Amendment of Section 1.80 of the Rules to Incorporate the Forfeiture Guidelines*, 12 FCC Rcd 17087, 17113 (1997), *recon. denied*, 15 FCC Rcd 303(1999) ("*Forfeiture Policy Statement*")³, sets the base forfeiture amount for operation without an instrument of authorization at \$10,000. In assessing the monetary forfeiture amount, we must take into account the statutory factors set forth in Section 503(b)(2)(D) of the Act,⁴ which include the nature, circumstances, extent, and gravity of the violation, and with respect to the violator, the degree of culpability, any history of prior offenses, ability to pay, and other such matters as justice may require. In this case, Blue Ridge has no history of prior offenses, and ceased operating the unlicensed radios after Commission agents warned them of the violation. Applying the *Forfeiture Policy Statement* and the statutory factors to the instant case and applying the inflation adjustments, we believe that a five thousand dollar (\$5,000) monetary forfeiture is warranted.

IV. ORDERING CLAUSES

9. Accordingly, IT IS ORDERED THAT, pursuant to Section 503(b) of the Act⁵ and Sections 0.111, 0.311 and 1.80 of the Commission's Rules⁶, Blue Ridge Erectors, Inc. is hereby NOTIFIED of their APPARENT LIABILITY FOR A FORFEITURE in the amount of five thousand dollars (\$5,000) for willfully violating Section 301 of the Act.

10. IT IS FURTHER ORDERED THAT, pursuant to Section 1.80 of the Commission's Rules, within thirty days of the release date of this NOTICE OF APPARENT LIABILITY, Blue Ridge Erectors, Inc. SHALL PAY the full amount of the proposed forfeiture or SHALL FILE a written statement seeking reduction or cancellation of the proposed forfeiture.

² Section 312(f)(1) of the Act, 47 U.S.C. § 312(f)(1), which applies to Section 503(b) of the Act, provides that "[t]he term 'willful', when used with reference to the commission or omission of any act, means the conscious and deliberate commission or omission of such act, irrespective of any intent to violate any provision of this Act" See *Southern California Broadcasting Co.*, 6 FCC Rcd 4387 (1991).

³47 C.F.R. § 1.80.

⁴47 U.S.C. § 503(b)(2)(D).

⁵47 U.S.C. § 503(b).

⁶47 C.F.R. §§ 0.111, and 0.311.

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11. Payment of the forfeiture may be made by mailing a check or similar instrument, payable to the order of the Federal Communications Commission, to the Forfeiture Collection Section, Finance Branch, Federal Communications Commission, P.O. Box 73482, Chicago, Illinois 60673-7482. The payment should note the NAL/Acct. No. 200332380007 and FRN: 0007-7917-42.

12. Any response to this NAL must be mailed to Federal Communications Commission, Enforcement Bureau, Technical and Public Safety Division, 445 12th Street, S.W., Washington, D.C. 20554 and MUST INCLUDE THE NAL/Acct. No. 200332380007.

13. The Commission will not consider reducing or canceling a forfeiture in response to a claim of inability to pay unless the petitioner submits: (1) federal tax returns for the most recent three-year period; (2) financial statements prepared according to generally accepted accounting practices ("GAAP"); or (3) some other reliable and objective documentation that accurately reflects the petitioner's current financial status. Any claim of inability to pay must specifically identify the basis for the claim by reference to the financial documentation submitted.

14. Requests for payment of the full amount of this Notice of Apparent Liability under an installment plan should be sent to: Chief, Revenue and Receivable Operations Group, 445 12th Street, S.W., Washington, D.C. 20554.⁷

15. Under the Small Business Paperwork Relief Act of 2002, Pub L. No. 107-198, 116 Stat. 729 (June 28, 2002), the FCC is engaged in a two-year tracking process regarding the size of entities involved in forfeitures. If you qualify as a small entity and if you wish to be treated as a small entity for tracking purposes, please so certify to us within thirty (30) days of this NAL, either in your response to the NAL or in a separate filing to be sent to the Technical and Public Safety Division. Your certification should indicate whether you, including your parent entity and its subsidiaries, meet one of the definitions set forth in the list provided by the FCC's Office of Communications Business Opportunities (OCBO) set forth in Attachment A of this Notice of Apparent Liability. This information will be used for tracking purposes only. Your response or failure to respond to this question will have no effect on your rights and responsibilities pursuant to Section 503(b) of the Communications Act. If you have questions regarding any of the information contained in Attachment A, please contact OCBO at (202) 418-0990.

16. IT IS FURTHER ORDERED THAT a copy of this NOTICE OF APPARENT LIABILITY shall be sent by Certified Mail Return Receipt Requested to Blue Ridge Erectors, Inc., P.O. Box 158, Bangor, PA 18013.

FEDERAL COMMUNICATIONS COMMISSION

Daniel W. Noel
District Director
New York Office

⁷ See 47 C.F.R. § 1.1914.